

## TIP-TILT-PISTON ACTUATOR

### **Technical Field**

This invention relates to Micro-Electro-Mechanical-Systems (MEMS), and more particularly, to plates that can controllably tip, tilt, and piston.

5      **Background of the Invention**

United States Patent No. 6,480,320 issued to Nasiri on November 12, 2002, discloses a freely rotatable micromechanical mirror. While allowing free rotation, such a design is not especially suitable to intentionally directed linear motion in the direction normal to the surface of the substrate from which the mirror is offset, i.e., piston.

10     **Summary of the Invention**

We have recognized that a freely rotatable micromechanical plate, e.g., a mirror, that is especially suitable to fast linear motion in the direction normal to the surface of the substrate from which the mirror is offset, combined with tip and tilt motion, can be achieved, in accordance with the principles of the invention, by employing at least three rotatable plates that are each suspended from a substrate via respective springs, and are coupled via other respective springs to at least three moveable plate attachment points, so that rotation of the rotatable plates about an axis transfers motion to the moveable plate attachment points. Respective posts couple the movement of each respective moveable plate attachment point to a moveable plate. In operation, the rotatable plates may be individually rotated, and the resulting motion of each rotatable plate is passed to its respective moveable plate attachment point. The combined motion of the moveable plate attachment points is passed to the moveable plate via the posts. Using proper control, the plate may be made to tip, tilt and piston. Advantageously, the piston motion may achieve a high vertical movement frequency response.

25     The various springs are some form of deformable elastic element, and they need not all be the same. For example, a spring could be a relatively thin beam, or it could be a folded set of beams. A spring that connects a rotatable plate to the substrate may be along an edge of the rotatable plate and act as the axis of rotation for the plate.

The rotation of the plates may be actuated in any conventional manner, including  
30     a) having at least one electrode below the plate, b) using another plate coupled to the rotatable plate to exert a force on the rotatable plate, e.g., by having an electrode under the other plate, so that angle amplification may be achieved, and/or c) by using a so-called “comb drive”.

### **Brief Description of the Drawing**

In the drawing:

FIG. 1 shows a freely rotatable micromechanical plate, e.g., a mirror, that is especially suitable to fast linear motion in the direction normal to the surface of the substrate from which the mirror is offset, combined with tip and tilt motion, in accordance with the principles of the invention;

FIG. 2 shows another arrangement, in accordance with the principles of the invention, of a freely rotatable micromechanical plate, similar to the one shown in FIG.1, but in which the basic drive has been replaced with a so-called “comb drive”;

FIG. 3 shows another arrangement, in accordance with the principles of the invention, of a freely rotatable micromechanical plate, similar to the one shown in FIG.1, but in which the basic drive has been replaced with using another plate coupled to the rotatable plate to exert a force on the rotatable plate, so that angle amplification may be achieved;

FIG. 4 shows an arrangement for use in an embodiment of the invention in which at least one of the first springs for coupling one of the rotatable plates to the substrate is a folded beam;

FIG. 5 shows another arrangement for use in an embodiment of the invention in which at least one of the first springs for coupling one of the rotatable plates to the substrate is a folded beam; and

FIG. 6 shows an arrangement for use in an embodiment of the invention in which at least one of the second springs which couples one of rotatable plates to one of the moveable plate attachment points is a folded beam.

### **Detailed Description**

The following merely illustrates the principles of the invention. It will thus be appreciated that those skilled in the art will be able to devise various arrangements that, although not explicitly described or shown herein, embody the principles of the invention and are included within its spirit and scope. Furthermore, all examples and conditional language recited herein are principally intended expressly to be only for pedagogical purposes to aid the reader in understanding the principles of the invention and the concepts contributed by the inventor(s) to furthering the art, and are to be construed as being without limitation to such specifically recited examples and conditions. Moreover, all statements herein reciting principles, aspects, and embodiments of the invention, as well as specific examples thereof, are intended to encompass both structural and functional equivalents thereof. Additionally, it is intended that such equivalents include

both currently known equivalents as well as equivalents developed in the future, i.e., any elements developed that perform the same function, regardless of structure.

In the claims hereof any element expressed as a means for performing a specified function is intended to encompass any way of performing that function. This may include, for example, a) a combination of electrical or mechanical elements which performs that function or b) software in any form, including, therefore, firmware, microcode or the like, combined with appropriate circuitry for executing that software to perform the function, as well as mechanical elements coupled to software controlled circuitry, if any. The invention as defined by such claims resides in the fact that the functionalities provided by the various recited means are combined and brought together in the manner which the claims call for. Applicant thus regards any means which can provide those functionalities as equivalent as those shown herein.

Unless otherwise explicitly specified herein, the drawings are not drawn to scale.

The term micro-electromechanical systems (MEMS) device as used herein is intended to mean an entire MEMS device or any portion thereof. Thus, if a portion of a MEMS device is inoperative, or if a portion of a MEMS device is occluded, such a MEMS device is nonetheless considered to be a MEMS device for purposes of the present disclosure.

In the description, identically numbered components within different ones of the FIGs. refer to the same components.

FIG. 1 shows a freely rotatable micromechanical plate, e.g., a mirror, that is especially suitable to fast linear motion in the direction normal to the surface of the substrate from which the mirror is offset combined with tip and tilt motion, i.e., piston, in accordance with the principles of the invention. Shown in FIG. 1 are a) moveable plate 103; b) rotatable plates 105, including rotatable plates 105-1 through 105-3; c) first springs 107, including first springs 107-1 through 107-3; d) posts 109, including posts 109-1 through 109-3; e) center post 111; f) moveable plate attachment points 113, including points 113-1 through 113-3; g) movement coupling posts 115, including posts 115-1 through 115-3, h) substrate 117, and i) second springs 119, including second springs 119-1 through 119-3.

Each of rotatable plates 105 is suspended via one of first springs 107, which are each respectively, in turn coupled to substrate 117 by an associated one of posts 109 and center post 111. Rotatable plates 105 each rotates about an axis substantially defined by the one of first springs 107 to which it is coupled.

Moveable plate attachment points 113 are each coupled to a respective one of rotatable plates 105 via a respective associated one of second springs 119 so that rotation

of rotatable plates 105 about their respective axis of rotation transfers motion to the associated respective one of moveable plate attachment points 113.

Each of movement coupling posts 115 couples the movement of its associated respective one of moveable plate attachment points 113 to moveable plate 103.

5 In operation, rotatable plates 105 may be individually rotated, and the resulting motion of each rotatable plate 105 is passed to its respective associated moveable plate attachment point 113. The combined motion of moveable plate attachment points 113 is passed to moveable plate 103 via posts 115.

Using proper control, moveable plate 103 may be made to tip, tilt and piston.  
10 Advantageously, the piston motion may achieve a high frequency response as the arrangement of the springs and plates can be made stiff for the vertical motion, with respect to the substrate, while being soft for rotational, i.e., tip-tilt, motion. This is because the stiffness of the vertical motion depends on parameters of the springs that can be chosen independent of the parameters of the springs that govern the torsional  
15 movement. More specifically, the vertical motion is governed by the bending stiffnesses of the first and second springs, while rotational motion is governed by the torsional stiffnesses of the first and second springs.

Moveable plate 103 may be metal, or metal coated, so that it may act as a mirror. Alternatively, any other optical element may be attached to moveable plate 103.  
20 Moreover, moveable plate 103 need not be flat. It need only be a rigid structure that is rigidly coupled to moveable plate attachment points 113.

Also shown in FIG. 1 are electrodes 121, including electrodes 121-1 through 121-3, which are used to cause rotatable plates 105 to rotate about their respective axis of rotation.

25 FIG. 2 shows another arrangement, in accordance with the principles of the invention, of a freely rotatable micromechanical plate that is similar to the one shown in FIG.1, but in which the basic drive by at least electrode 121-1 has been replaced with a so-called “comb drive”. More specifically, shown in FIG. 2 are a) upper comb teeth 201-1, which are coupled to rotatable plate 105-1, and b) lower comb teeth 203-1, which 30 are coupled to substrate 117. Advantageously, a higher force density can be achieved using such a comb drive. Note that the particular drives of rotatable plates 105-2 and 105-3 cannot be seen in FIG. 2, and that they may, but need not, also be comb drives. When using a comb drive, rotatable plates 105 may be reduced in size considerably, e.g., so that it is just big enough to hold all the combs rigidly. The effect is that the reduced 35 size plate is considered a vestigial plate.

FIG. 3 shows another arrangement, in accordance with the principles of the invention, of a freely rotatable micromechanical plate that is similar to the one shown in FIG.1, but in which the basic drive by at least electrode 121-1 has been replaced with using another plate coupled to the rotatable plate to exert a force on the rotatable plate, 5 e.g., by having an electrode under the other plate, so that angle amplification may be achieved. More specifically, shown in FIG. 3, in addition to the elements of FIG. 1 are 1) drive plates 331, including drive plate 331-1 through 331-3, 2) drive springs 333, including springs 333-1 through 333-3, 3) drive support posts 335, and 4) electrodes 337, including electrodes 337-1 through 337-3.

10 Each of drive plates 331 is coupled to a respective associated one of rotatable plates 105, so that the coupled edges cannot displace vertically with respect to each other but they can rotate with respect to each other. Such a coupling may be achieved in various ways, such as through the use of center coupling spring 339. Alternative arrangements, such as the use of coupling springs nearer the edges may be employed. 15 Also, each of drive plates 331 is supported via its associated respective one of drive springs 333, which are in turn coupled to substrate 117 via their respective ones of drive support posts 335. Each of electrodes 337, is located below its associated respective one of drive plates 331.

When supplied with a voltage with respect to its associated drive plate 331, each 20 electrode 337 causes its associated one of drive plates 331 to rotate about the axis formed by its associated drive spring 333. In turn, as the edge of one of drive plates 331 opposite its associated one of drive springs 331 descends, the associated one of rotatable plate 105 is forced to descend with it. Advantageously, angle amplification is achieved when a one 25 of drive plates 331 is longer than its associated one of rotatable plates 105.

To increase the force exerted in rotating rotatable plate 105 further than is 30 possible when using angle amplification alone, electrodes, such as electrode 121-1, may also be placed under one or more of rotatable plates 105. Moreover, comb drives may be employed to replace any electrodes, whether under one of drive plates 331 or one of rotatable plates 105, in the same manner that a comb drive was substituted in FIG. 2 for electrode 121-1 of FIG. 1.

FIG. 4 shows an arrangement for use in an embodiment of the invention in which at least one of first springs 107 for coupling one of rotatable plate 105 to substrate 117 is folded beam 441. Such springs are conventionally employed in MEMS devices.

Similarly, FIG. 5 shows another arrangement for use in an embodiment of the 35 invention in which at least one of first springs 107 for coupling one of rotatable plate 105

to substrate 117 is folded beam 551. As noted, such springs are conventionally employed in MEMS devices.

FIG. 6 shows an arrangement for use in an embodiment of the invention in which at least one of second springs 119 which couples one of rotatable plates 105 to one of moveable plate attachment points 113 is folded beam 661. Again, such springs are conventionally employed in MEMS devices.